



### **Please read these terms carefully**

This Special Regulatory Information document (together with the documents referred to in it) provides you with important information about the regulatory framework within which LGT Wealth Management operates.

The information contained herein may be changed by us without notice and so you should check this page from time to time for any updates.

### **Regulatory information**

LGT Wealth Management UK LLP is authorised and regulated by the Financial Conduct Authority ('FCA') and is a member of the London Stock Exchange. Our FCA registration details are set out in the FCA Register under Firm Reference number 471048 ([www.fca.org.uk](http://www.fca.org.uk)). LGT Wealth Management UK LLP is registered in England and Wales (registered number OC329392) and our registered office is 14 Cornhill, London EC3V 3NR.

### **Services**

LGT Wealth Management's advisory and discretionary portfolio management services are restricted to providing clients with specialist expertise and advice on the management of investment portfolios. As a firm LGT Wealth Management is able to source investment solutions from the whole of market and we are not tied to any products or providers. However, we will not consider clients' wider financial planning and pension requirements, unless a client expressly wishes us to do so, when the client will be referred to one of our wealth planners.

### **Execution policy**

For our execution policy, please [click here](#).

### **Execution venues**

For more information about execution venues please [click here](#).

### **Important risk information**

You should be aware that a positive performance in the past is no guarantee of a positive performance in the future. The risk of falling prices, foreign currency losses and fluctuating returns due to exchange rates which are unfavourable for investors cannot be excluded. It is possible that investors will not get back the full amount they invested. Commissions and charges levied on the issuance and redemption of units are debited separately to each investor.

Investors are specifically advised that certain investments are highly volatile. Such investments, such as derivatives, may be subject to significant price fluctuation, which may be equal to or greater than the amount of capital invested.

Certain specific investments may not be immediately realisable. It may therefore be difficult for investors to sell an investment, realise a profit, or obtain reliable information as to its value or the degree of risk to which the investment is exposed.

When buying or selling investments involving a contingent liability, not only may you lose the amount invested, but in certain circumstances you may also be required to pay an additional amount.

### **Important information**

LGT Wealth Management UK LLP is authorised and regulated by the Financial Conduct Authority. Our regulation details are set out in the FCA register: Firm Reference No: 471048 [www.fca.org.uk/register](http://www.fca.org.uk/register). Registered in England and Wales: OC329392. Registered office: 14 Cornhill, London, EC3V 3NR. Any information herein is given in good faith, but is subject to change without notice.